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March 18, 2004

Attorney Docket No.: 12227-070001

## Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Presented for filing is a new patent application claiming priority from a provisional patent application of:

Applicant: WEI-CHENG WILSON LIN AND BO PI

Title: OPTICAL DEVICES HAVING WGM CAVITY COUPLED TO SIDE-POLISHED FIBER PORT

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	45
Claims	7
Abstract	1
Declaration	2
Drawing(s)	26

### Enclosures:

- Assignment cover sheet and an assignment, 2 pages, and a separate \$40 fee.
- Small entity statement. This application is entitled to small entity status.
- Postcard.
- Request for Non-Publication.

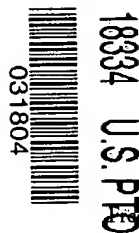
Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/456,821, filed March 21, 2003.

Basic filing fee	\$385
Total claims in excess of 20 times \$9	\$306
Independent claims in excess of 3 times \$43	\$43
Fee for multiple dependent claims	\$0
Total filing fee:	\$734

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Frederick P. Fish  
1855-1930

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Commissioner for Patents

March 18, 2004

Page 2

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

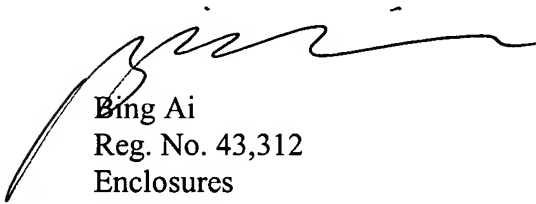
Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

20985

PTO Customer Number

Respectfully submitted,



Bing Ai  
Reg. No. 43,312  
Enclosures  
BXA/rpi  
10377881.doc

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Wei-cheng Wilson Lin et al.

Title Optical Devices Having WGM Cavity Coupled  
to Side-Polished Fiber Port

Atty Docket Number 12227-070001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/18/2004

Date

Signature

Bing Ai

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**